

# Variant *VKORC1* and *CYP2C9* Alleles in Patients with Diffuse Alveolar Hemorrhage Caused by Oral Anticoagulants

Petal A. Wijnen,<sup>1,2</sup> Catharina F. Linssen,<sup>3</sup> Guido R. Haenen,<sup>2,4</sup> Otto Bekers<sup>1,2</sup> and Marjolein Drent<sup>2,5</sup>

1 Department of Clinical Chemistry, Maastricht University Medical Centre, Maastricht, the Netherlands

2 Intensive Care Center, Maastricht University Medical Centre, Maastricht, the Netherlands

3 Department of Medical Microbiology, Maastricht University Medical Centre, Maastricht, the Netherlands

4 Department of Pharmacology and Toxicology, University of Maastricht, Maastricht, the Netherlands

5 Department of Respiratory Medicine, Maastricht University Medical Centre, Maastricht, the Netherlands

## Abstract

**Background:** Diffuse alveolar hemorrhage (DAH) is a life-threatening bleeding complication that can occur as a result of oral anticoagulation therapy.

**Objective:** We hypothesized that in patients treated with coumarins, alveolar hemorrhage is associated with vitamin K epoxide reductase (*VKORC1*) and cytochrome P450 (*CYP*) 2C9 (*CYP2C9*) variant alleles. In addition, in the case of acenocoumarol use, *CYP2C19* allelic variants also play a role.

**Methods:** During a 7-year period, data on patients using coumarins with confirmed DAH were gathered. Of 173 confirmed DAH cases, 75 received oral anticoagulants, and 63 (84%) of these 75 patients were included because their DNA was available. For genotyping the *CYP2C9*\*2 (430C>T), *CYP2C9*\*3 (1075A>C), *CYP2C19*\*2 (681G>A), *CYP2C19*\*3 (636G>A), *VKORC1* (-1639G>A), and *VKORC1* (1173C>T) single nucleotide polymorphisms (SNPs), real-time PCRs were performed.

**Results:** In 62 (98.4%) of 63 patients with DAH, variant alleles were found. In 51 (81.0%) of the 63 patients, *VKORC1* allelic variants (20 homozygotes and 31 heterozygotes) were present. In 31 (49.2%) of the 63 DAH cases, *CYP2C9* allelic variants (three homozygotes, 26 heterozygotes, and two compound heterozygotes) were observed, and in 20 (32.0%) of the 63 patients, variant alleles of both genes were observed.

**Conclusion:** Genotyping of four SNPs for *VKORC1* and *CYP2C9* polymorphisms is useful in predicting a high probability of the occurrence of DAH in patients receiving oral anticoagulants. Early and timely use of genotyping is recommended to prevent a fatal outcome and to provide safer and more individualized anticoagulant therapy.

## Background

Coumarin-based oral anticoagulants act as vitamin K antagonists. They are the most commonly prescribed drugs for therapy (such as in venous thrombosis or pulmonary embolism) or for prophylaxis (as in chronic atrial fibrillation, prosthetic heart valves, and other cardiovascular diseases) of thromboembolic conditions. The primary goal of coumarin administration is to prevent clot formation and its expansion while carefully avoiding unintended adverse drug reactions (ADRs) from over-anticoagulation.<sup>[1]</sup> The effect of the therapy is monitored by the prothrombin-time international

normalized ratio (INR). An INR of <2.0 is associated with an increased risk of thromboembolism, and an INR of ≥4.0 denotes an increased risk of bleeding.<sup>[2]</sup>

One of the bleeding complications occurring in patients receiving coumarins is diffuse alveolar hemorrhage (DAH).<sup>[3]</sup> DAH may be fulminant and lead to death. Because of the severe effects of overdosing and the narrow therapeutic window, correct management of coumarins is challenging. A safe and effective dose has to be determined during the early phase of therapy, and maintenance doses need to be adjusted to compensate for changes in patients' bodyweight, diet, disease state, and concomitant use of other medication.<sup>[4]</sup> The challenge is

becoming even more demanding because of the increased use of coumarins that is a consequence of the aging of populations in industrialized countries.

Despite the ability to closely monitor the therapeutic effect of coumarins by means of the INR, there is a relatively high incidence of complications.<sup>[1]</sup> As early treatment of these complications is life saving and may result in complete recovery, early diagnosis can be critical. At present, the diagnosis of DAH is often made by observing an increased percentage of siderophages (>20%) in bronchoalveolar lavage fluid (BALF), indicated with Perl's staining.<sup>[5]</sup>

Instead of early diagnosis, prevention would, of course, be much more preferable. The relatively high interindividual drug requirement indicates that genetic factors may impact the therapeutic effect of coumarins. The strongest predictors of coumarin-induced anticoagulant effects appear to be genes encoding for the enzyme vitamin K epoxide reductase complex 1 (VKORC1), the target of vitamin K antagonists. The enzyme VKORC1 recycles vitamin K epoxide to the reduced form of vitamin K, an essential cofactor in the formation of active vitamin K-dependent clotting factors II (prothrombin), VII, IX, and X through  $\gamma$ -glutamyl carboxylation (figure 1).<sup>[6]</sup>

Another predictor appears to be cytochrome P450 (CYP) 2C9, the enzyme mainly responsible for the metabolism of coumarins.<sup>[4,7,8]</sup> For instance, patients with the common, functionally defective, \*2 (430T) and \*3 (1075C) allelic variants of the *CYP2C9* gene require significantly lower maintenance doses, take longer to achieve dose stabilization, and are at higher risk of serious and life-threatening bleeding than are patients without these variants.<sup>[8]</sup>

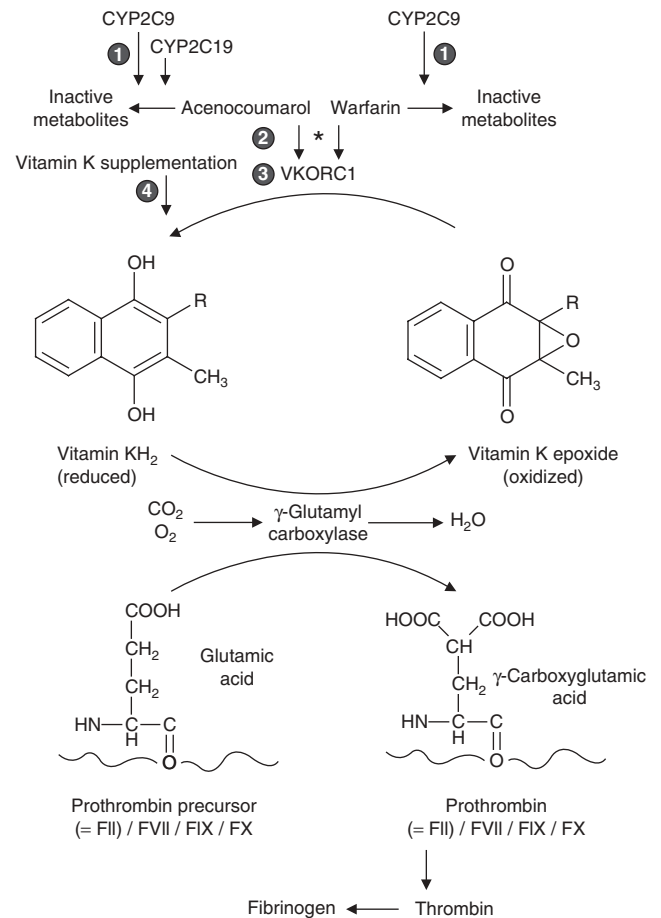
When using acenocoumarol as an oral anticoagulant, one might even consider CYP2C19, although its contribution to the metabolism of acenocoumarol is small compared with CYP2C9.<sup>[9]</sup>

This study evaluated the association between the occurrence of DAH in patients after initiating coumarin anticoagulant therapy and the presence of *VKORC1* and *CYP2C9* allelic variants.

## Methods

### Setting and Study Population

Patients who were diagnosed with DAH at the Maastricht University Medical Centre (Maastricht, the Netherlands) from 2002 until 2009 were enrolled in the study. The inclusion criteria were bronchoalveolar lavage (BAL) performed in the diagnostic work-up and confirmed anticoagulant therapy initiated before the clinical episode of DAH. During this 7-year period,



**Fig. 1.** Interactions in the vitamin K cycle and coagulation. The vitamin K cycle plays an important role in the formation of functional vitamin K-dependent clotting factors (FII, FVII, FIX, and FX). Within the vitamin K cycle, VKORC1 is responsible for the reduction of vitamin K epoxide (the inactive form) to vitamin KH<sub>2</sub> (the active form) and the target for oral anticoagulants. Interactions can occur on several levels. (1) If variant *CYP2C9* alleles are present, inadequate metabolism of coumarins by the affected CYP enzyme will result in more inhibition of VKORC1. (2) If variant *VKORC1* alleles are present, the VKORC1 enzyme will be more sensitive to inhibition by anticoagulants, resulting in over-anticoagulation. (3) Inhibition of VKORC1 by coumarins will prevent vitamin K epoxide reverting back to vitamin KH<sub>2</sub>, slowing down the vitamin K cycle. This inhibits the formation of vitamin K-dependent clotting factors. High levels of coumarins cause over-anticoagulation. (4) Vitamin K supplementation stimulates the vitamin K cycle, thus preventing over-anticoagulation. \* indicates antagonism.

1258 BAL analyses were carried out, and 252 cases with suspected DAH were identified. BAL was performed according to the hospital protocol, as reported previously.<sup>[10]</sup> A total of 200 macrophages were counted, and the total number of iron-stain (Perl's stain)-positive macrophages were expressed as a percentage of the 200 cells counted. A percentage of >20% iron-positive macrophages was considered indicative of an alveolar hemorrhage.<sup>[5]</sup> Of the obtained BALF samples, 173 samples had >20% iron-stain-positive cells. In 75 of these 173 confirmed

DAH cases, treatment with coumarins had been recently initiated. This study was a retrospective evaluation, and DNA was available in only 63 (84%) of 75 cases. In 40 of these 63 DAH cases, either genotyping for *CYP2D6*, *CYP2C9*, and *CYP2C19* had been performed previously to evaluate whether there might be a drug-induced reaction involved in the observed clinical deterioration, or EDTA material was still available. In 23 cases, DNA was isolated from the cells in the BALF samples. All remaining samples were genotyped for CYP polymorphisms. In addition, for this study, *VKORC1* genotyping was performed in these 63 DAH cases.

A control population of 173 healthy, unrelated, Caucasian volunteers was also genotyped for the studied single nucleotide polymorphisms (SNPs).

The study was performed in accordance with the Declaration of Helsinki and its amendments. Written informed consent was obtained. The protocol was approved by the Medical Ethics Board of the Maastricht University Medical Centre.

#### Collection of Clinical Data

Inpatient and outpatient medical records of these 63 unrelated patients of Caucasian origin, presenting with DAH and using coumarins, were reviewed. Two patients received phenprocoumol, and the remaining 61 patients received acenocoumarol. Routine laboratory tests, chest x-rays, and high-resolution CT scans were reviewed in all patients. Appropriate and relevant biopsies were also evaluated when available (18 cases).

#### Genotyping

In addition to the previously determined polymorphisms, *VKORC1* genotyping was performed.

DNA was obtained from all subjects by using venous EDTA anticoagulated blood or BALF samples and isolated with a High Pure PCR Template Preparation Kit (Roche Diagnostics, Mannheim, Germany) according to the manufacturer's instructions.

For genotyping the *CYP2C9*\*2 (430C>T), *CYP2C9*\*3 (1075A>C), *CYP2C19*\*2 (681G>A), *CYP2C19*\*3 (636G>A), *VKORC1* (-1639G>A), and *VKORC1* (1173C>T) SNPs, three real-time PCR fluorescence resonance energy transfer (FRET) analyses were performed. FRET LightMix<sup>®</sup> assays (cat.-no. 40-0298-16, 40-0304-16, and 40-0302-16; TIB MOLBIOL, Berlin, Germany) on the LightCycler<sup>®</sup> (Roche Diagnostics) were used, according to the manufacturer's protocols. These three FRET assays simultaneously determined the two SNPs of *CYP2C9*, *CYP2C19*, and *VKORC1*, respectively, in separate capillaries.

Each assay consisted of a duplex reaction measuring the melting curves of the specific fluorescent probes in two different channels, each with a distinct wavelength. Positive controls (heterozygotes, provided with the kit) and negative controls were determined with each run.

#### Statistical Analysis

Statistical analyses were performed with SPSS version 15.0 software for Windows (SPSS Inc., Chicago, IL, USA). The chi-square test was used to test for statistically significant differences between groups. Odds ratios (ORs) with 95% confidence intervals (CIs) were derived from these tables to evaluate the strength of associations between genotypes and the DAH event. Actual allele distributions were compared against the expected frequencies that were calculated, using the Hardy-Weinberg equilibrium. Deviations from Hardy-Weinberg equilibrium were analyzed using the chi-square test. A p-value of < 0.05 (two-sided) was considered to indicate statistical significance. A Bonferroni correction was applied, if appropriate, to adjust for multiple comparisons (p < 0.01, indicating statistical significance).

#### Results

The characteristics and summary of relevant clinical data of the studied patients (15 females and 48 males) with DAH are listed in table I. Data subdivided on the basis of the presence of the studied polymorphisms did not show substantial differences (table I). The reasons for the patients being on anticoagulants were as follows: atrial fibrillation or flutter (n = 34); previous myocardial infarction (n = 12); chronic heart failure (n = 7); lung embolisms (n = 4); valve replacement surgery (n = 4); deep-vein thrombosis (n = 2). The average dose of the coumarins was low (maximum 2 mg/day, with an initial dosing scheme of 6 mg/day for 1 day, then 4 mg/day for 1 day, and then 2 mg/day or 4 mg/day for 2 days, and then 2 mg/day in elderly patients). The INR was above the therapeutic range within 2 weeks after initiation of anticoagulant treatment in all DAH cases (median 5.50, with 80% INR >4.00 and 40% INR >6.00). Most of the patients had several episodes of an increased INR during the follow-up, were difficult to normalize, and had to be kept on lower anticoagulant doses than is standard for the general population. Immunologic analysis revealed no abnormalities, and no underlying systemic diseases were found. The high-resolution CT showed widespread signs of DAH, with patchy bilateral or diffuse areas of ground-glass attenuation in

**Table I.** Demographic and clinical characteristics of the patients (n=63) with diffuse alveolar hemorrhage<sup>a</sup>

Genotype group	Sex [M/F]	Age [y]	Hb [mmol/L] M (8.2–11.0) F (7.3–9.7)	Ht [L/L] M (0.41–0.52) F (0.36–0.48)	Thrombocytes [× 10 <sup>9</sup> /L] (130–350)	LD [U/L] (0–480)	ANCA [neg/ND]	ANF [neg/ND]	Deceased [yes/no]
Total population	48/15	63.1 ± 15.9 (20–85)	6.7 ± 1.3 (4.0–9.4)	0.33 ± 0.06 (0.20–0.46)	283 ± 156 (14–971)	937 ± 1237 (319–10 170)	38/24 (1 pos)	38/24 (1 pos)	37/26
<i>VKORC1</i> and <i>CYP2C9</i> variant	14/6	63.9 ± 18.5 (20–85)	7.0 ± 1.5 (4.1–9.4)	0.35 ± 0.07 (0.20–0.46)	268 ± 136 (14–669)	768 ± 419 (319–1846)	11/8 (1 pos)	11/8 (1 pos)	10/10
<i>VKORC1</i> variant only									
AA/TT <sup>b</sup>	9/3	58.8 ± 14.9 (35–77)	5.9 ± 1.6 (4.0–9.1)	0.29 ± 0.08 (0.21–0.45)	224 ± 112 (81–459)	1614 ± 2725 (396–10 170)	8/4	9/3	8/4
GA/CT <sup>c</sup>	15/4	65.6 ± 13.7 (27–82)	6.7 ± 1.1 (4.8–8.8)	0.33 ± 0.05 (0.23–0.43)	303 ± 190 (104–971)	788 ± 359 (389–1778)	9/10	9/10	12/7
<i>CYP2C9</i> variant only	9/2	61.6 ± 16.9 (34–80)	6.8 ± 0.9 (5.3–7.8)	0.34 ± 0.05 (0.27–0.40)	354 ± 151 (116–571)	798 ± 254 (418–1139)	10/1	9/2	6/5
No variant alleles	1/0	66.0	6.3	0.31	129	542	0/1	0/1	1/0

a Data are presented as absolute numbers or means ± SDs, with ranges in parentheses if appropriate.

b Homozygous variant for both *VKORC1* –1639G>A and *VKORC1* 1173C>T.

c Heterozygous variant for both *VKORC1* –1639G>A and *VKORC1* 1173C>T.

**ANCA** = anti-neutrophil cytoplasmic antibody; **ANF** = antinuclear factor; **F** = female; **Hb** = hemoglobin; **Ht** = hematocrit; **LD** = lactate dehydrogenase; **M** = male; **ND** = not done; **neg** = negative; **pos** = positive.

all cases. A BAL was performed in all subjects, showing hemorrhagic BALF with markedly positive iron staining (>22%, mean 59.3 ± 25.7) and the presence of erythrocytes and pneumocytes type II, confirming the diagnosis of DAH.<sup>[10]</sup>

The allele frequencies and genotype distribution in the DAH patients were determined and compared with the distribution of the same polymorphisms in a healthy, unrelated, Caucasian population from our hospital and from populations in the literature (table II).<sup>[11,12]</sup> Allele frequencies and genotype distributions of both control populations were in Hardy-Weinberg equilibrium. A *VKORC1* variant allele was found in 51 of the 63 patients with DAH (81.0%,  $p < 0.025$ ). This included 20 homozygotes (AA/TT), including the only two patients in our population receiving phenprocoumol, and 31 heterozygotes (GA/CT). A *CYP2C9* allelic variant was found in 31 of the 63 patients (49.2%,  $p < 0.025$ ), including three homozygotes, 26 heterozygotes, and two compound heterozygotes. Twenty (32.0%) of the 63 DAH cases had both *VKORC1* and *CYP2C9* allelic variants. In 31 (49.2%) of these 63 DAH cases, only a *VKORC1* allelic variant was found. Of the 12 DAH cases without a *VKORC1* allelic variant (GG/CC), five were *CYP2C9* \*1/\*2 heterozygotes, four were *CYP2C9* \*1/\*3 heterozygotes, and two had a compound heterozygous variant *CYP2C9* \*2/\*3 genotype. The remaining subject had no variant of the studied alleles. When comparing patients with controls for the presence of a polymorphism (polymorphism present vs

no polymorphism), a significant difference was found (OR 14.6; 95% CI 1.96, 109.3;  $p < 0.001$ ). Furthermore, a *CYP2C19* variant allele was found in one third of the DAH cases. In all of these patients, this coincided with the presence of a *CYP2C9* and/or *VKORC1* allelic variant (table III).

The influence of allelic variants and co-medication on the anticoagulation in each individual case is summarized in table III. In about 60% of all the patients, co-medication was prescribed that might have influenced the coagulation. In only one patient – the one without any variant alleles in *VKORC1* or *CYP2C9* – the co-medication might have caused the bleeding. In this patient, a drug-drug interaction was most likely, with no fewer than four drugs (amiodarone, paroxetine, pantoprazole, and clopidogrel) being used that could have interacted with the anticoagulant. The drugs prescribed to patients that might have influenced the anticoagulation are listed in table IV.

Since May 2007, genotyping for the *VKORC1* polymorphism, together with the earlier-described CYP polymorphisms, has been available on request by clinicians in our hospital. Subsequently, in the period between May 2007 and December 2008, 11 patients with DAH were identified and included in this study. In all of these patients, vitamin K supplementation (1 mg/day, orally) was started. Of the 11 patients, 10 responded quite well, recovered, and are still alive. Of the 63 studied DAH cases, 37 patients died, primarily because of complications related to heart failure in combination with DAH.

## Discussion

Anticoagulants can cause fatal pulmonary hemorrhage. Barnett et al.<sup>[13]</sup> reported a case of DAH due to superwarfarin ingestion. More recently, Erdogan et al.<sup>[3]</sup> reported a case of DAH associated with coumarin therapy. We described a case of DAH in a patient who had malnutrition and was taking antibacterials and anticoagulants; at that time, genotyping was not yet available.<sup>[14]</sup> DAH results in accumulation of iron in the lungs and, in turn, iron causes oxidative stress and inflammation. It has been suggested that oxidative damage plays a role in the pathophysiology of various diseases.<sup>[15]</sup> It is important to prevent or recognize DAH at an early stage to avoid irreversible damage. Particularly in critically ill patients with unexplained infiltrates, DAH should be considered. DAH events can occur as a result of over-anticoagulation due to coumarin sensitivity, caused by *VKORC1* or *CYP2C9* polymorphisms, resulting in a relative vitamin K deficiency. Prophylactic administration of vitamin K to patients at risk can prevent severe damage.<sup>[16-18]</sup> Just recently, the information gathered from genotyping in this study has become available to clinicians. Subsequently, vitamin K supplementation (1 mg/day) was initiated in 11 DAH cases, resulting in stabilization of the INR and a positive outcome in 10 of these 11 DAH cases. This supports the concept that the

use of new pharmacogenetic-based dosing schemes and concomitant application of low-dose vitamin K with coumarins will greatly improve coumarin drug safety.<sup>[18,19]</sup>

Pharmacogenomics uses the tools of human genetics to tailor medical treatment to an individual's genetic make-up. To this end, phenotypic manifestations, a therapeutic outcome, or ADRs are considered in relation to the underlying genetic background of a patient.<sup>[7,20]</sup> The identification of the molecular target of coumarins, *VKORC1*, has greatly improved the understanding of coumarin treatment and illuminated new perspectives for safer and more individualized oral anticoagulation therapy. Rieder et al.<sup>[4]</sup> previously demonstrated that the *VKORC1* genotype appeared to be the most important genetic factor determining variability in coumarin dose; its effect was approximately three times higher than that of the *CYP2C9* genotype. More recently, in line with this, Schwarz et al.<sup>[16]</sup> concluded that the initial variability was more strongly associated with genetic variability in the pharmacogenetic target of coumarins, *VKORC1*, than in *CYP2C9*.

Variations and SNPs within the translated and non-translated regions of the *VKORC1* gene have been shown to cause coumarin resistance and sensitivity, respectively.<sup>[21]</sup> A frequent SNP within the *VKORC1* promoter (-1639G>A) has been identified as a major determinant of coumarin sensitivity, reducing

**Table II.** Allele frequencies and polymorphism distribution in patients with diffuse alveolar hemorrhage (DAH) compared with healthy volunteers and historical controls

Genotype	Patients with DAH <sup>a</sup>			Healthy volunteers <sup>b</sup>			Historical controls <sup>c</sup>		
	<i>CYP2C9</i> (%)	<i>VKORC1</i> (%)	<i>CYP2C19</i> (%)	<i>CYP2C9</i> (%)	<i>VKORC1</i> (%)	<i>CYP2C19</i> (%)	<i>CYP2C9</i> <sup>[11]</sup> (%)	<i>VKORC1</i> <sup>[11]</sup> (%)	<i>CYP2C19</i> <sup>[12]</sup> (%)
No variant allele	50.8	19.0	66.7	61.9	29.5	76.3	64.0	34.0	75.3
Variant allele	49.2 <sup>d</sup>	81.0 <sup>e</sup>	33.3 <sup>f</sup>	38.1	70.5	23.7	36.0	66.0	24.7
Allele <sup>g</sup>									
*1	71.4		81.7	79.7		86.4	80.0		86.5
*2	15.9		18.3	13.9		13.6	13.5		13.3
*3	12.7		0.0	6.4		0.0	6.5		0.2
G/C		43.7			52.3			58.5	
A/T		56.3			47.7			41.5	

a n = 63; sex 76.2% male, 23.8% female; age range = 20–85 y.

b n = 173; sex 56.6% male, 43.4% female; age range = 19–59 y.

c For *CYP2C9* and *VKORC1*: n = 200; sex 50% male, 50% female; age range = 18–24 y. For *CYP2C19*: n = 736; sex 82% male, 18% female; age range = 18–79 y.

d p = 0.022 vs healthy volunteers; p = 0.006 vs historical controls.

e p = 0.021 vs healthy volunteers; p = 0.0015 vs historical controls.

f p = 0.024 vs healthy volunteers; p = 0.046 vs historical controls.

g For *CYP2C9*, \*1 = wild type; \*2 = 430T, and \*3 = 1075C; for *CYP2C19*, \*1 = wild type, \*2 = 681A, and \*3 = 636A. *VKORC1* SNPs are -1639G>A and 1173C>T; genotype G/C is wild type and A/T is variant.

**Table III.** Influences on the coagulation: allelic variants and co-medication in patients (n = 63) with diffuse alveolar hemorrhage

Patient no.	<i>CYP2C9</i> <sup>a</sup>		<i>VKORC1</i> <sup>b</sup>		<i>CYP2C19</i> <sup>c</sup>		Influence of co-medication (yes/no)
	genotype	influence	genotype	influence	genotype	influence	
3	*1/*3	Yes	AA/TT	Yes	*1/*2	Yes	2/1
1	*1/*2	Yes	AA/TT	Yes	*1/*2	Yes	1/0
1	*2/*2	Yes	AA/TT	Yes	*1/*1	No	0/1
1	*1/*3	Yes	AA/TT	Yes	*1/*1	No	1/0
2	*1/*2	Yes	AA/TT	Yes	*1/*1	No	2/0
2	*1/*1	No	AA/TT	Yes	*2/*2	Yes	1/1
3	*1/*1	No	AA/TT	Yes	*1/*2	Yes	2/1
7	*1/*1	No	AA/TT	Yes	*1/*1	No	3/4
2	*2/*2	Yes	GA/CT	Yes	*1/*1	No	1/1
6	*1/*3	Yes	GA/CT	Yes	*1/*1	No	5/1
4	*1/*2	Yes	GA/CT	Yes	*1/*1	No	3/1
11	*1/*1	No	GA/CT	Yes	*1/*2	Yes	8/3
8	*1/*1	No	GA/CT	Yes	*1/*1	No	4/4
2	*2/*3	Yes	GG/CC	No	*1/*1	No	1/1
1	*1/*3	Yes	GG/CC	No	*1/*2	Yes	0/1
3	*1/*3	Yes	GG/CC	No	*1/*1	No	2/1
5	*1/*2	Yes	GG/CC	No	*1/*1	No	2/3
1	*1/*1	No	GG/CC	No	*1/*1	No	1/0

a *CYP2C9* SNPs are 430C>T and 1075A>C; allele designations: \*1 = wild type; \*2 = 430T, and \*3 = 1075C.

b *VKORC1* SNPs are -1639G>A and 1173C>T; genotype GG/CC is homozygous wild type and AA/TT is homozygous variant.

c *CYP2C19* SNPs are 681G>A and 636G>A; allele designations: \*1 = wild type, \*2 = 681A, and \*3 = 636A.

vitamin K epoxide reductase enzyme activity to 50% of wild type (GG = fully functional). Homozygous carriers of the *VKORC1* -1639A allele (AA) are strongly predisposed to coumarin sensitivity and require lower coumarin dosages. However, the link between DAH and the presence of *VKORC1* and *CYP2C9* variant alleles has never been made before.

To the best of our knowledge, our study is the first to evaluate this association between the occurrence of a serious adverse reaction to anticoagulant therapy, DAH, and the presence of relevant polymorphisms. We found that in 62 (98.4%) of the patients in our study population, a variant allele was present. In 81.0% (51/63) of the studied patients, the bleeding complication could be explained by the *VKORC1* haplotype (61.0% without and 39.0% with a *CYP2C9* allelic variant) alone. As also shown in table II, only 19.0% of the patients had no *VKORC1* 1173T/-1639A variant alleles, compared with 29.5% in a healthy volunteer population (n = 173) and 34.0% in a historical control population (n = 200) from the literature.<sup>[11]</sup> In 11 of the 12 DAH cases without a *VKORC1* variant, the *CYP2C9* allelic variant could explain the problems in reaching an appropriate INR. The present *CYP2C9* functionally defective allelic variant

required a 34% lower maintenance dose for the \*1/\*3 genotype and a 61% reduction for the \*2/\*3 genotype, compared with 13% for the *CYP2C9* \*1/\*2 genotype. In the one remaining patient without any variant of the alleles studied, the high INR and subsequent DAH event seemed attributable to drug-drug interactions. One third of the patients had an extremely high risk, as they appeared to have both genetic risk factors that are known to stratify patients into low-dose/high-risk cases.<sup>[16]</sup> Furthermore, patients with *VKORC1* and/or *CYP2C9* allelic variants need longer times before dose stabilization and are at higher risk of serious and life-threatening bleeding, including DAH, than patients without these variants.<sup>[8,22]</sup>

Our observation confirms that genotyping four SNPs, namely of the *VKORC1* and the *CYP2C9* genes, predicts a high risk of overdosing with coumarins (warfarin, acenocoumarol, phenprocoumon).<sup>[23]</sup> Although other studies have reported a strong linkage disequilibrium between the SNPs in the *VKORC1* gene, our own experience shows that sometimes only one of the examined SNPs can display a variant allele.<sup>[24,25]</sup> Accordingly, this implies that a potential risk factor could be missed if only one SNP is examined. Moreover, in this study,

the *VKORC1* results were obtained in one run, using a reagent combining primers and probes for both SNPs, without any extra time or costs. In the case of oral anticoagulation with acenocoumarol, genotyping for the \*2 (681G>A) and \*3 (636G>A) allelic variants in the *CYP2C9* gene could be performed. Although acenocoumarol is mainly metabolized by *CYP2C9*, it is also partly metabolized by *CYP2C19* (figure 1).<sup>[9]</sup> Polymorphisms in this enzyme system could therefore present additional anticoagulation problems. In our population, however, this polymorphism was of minor importance. All of the subjects with a *CYP2C19* variant allele (33.3% of all of the patients) also displayed one or both of the other two studied polymorphisms.

One of the limitations of this study was the fact that DNA was available in only 63 of the 75 subjects who used oral anticoagulants and were diagnosed with DAH. Therefore, conclusions from this case series should be interpreted with care, and prospective studies should be conducted to evaluate the

cost effectiveness of genotyping. Moreover, confirmation of our findings in other populations is mandatory. However, the fact that all but one of the included patients with DAH demonstrated at least one of the studied genetic defects makes the association highly likely. The merits of genotyping before starting treatment involving drugs such as coumarins, the effectiveness of which depends on genetic variants of *CYP2C9* and *VKORC1*, is still an area of debate between regulatory authorities and clinicians. Although genotyping of four SNPs is relatively inexpensive (about \$US200–250 in 2009) and needs to be performed only once in a lifetime, until its cost effectiveness is established, one could choose to only genotype patients who experience unstable INRs, in order to avoid serious complications. Nevertheless, as of August 2007, the US FDA issued a recommendation to genotype *CYP2C9* and *VKORC1* in warfarin product labeling, to optimize dosing schedules when prescribing warfarin.<sup>[24,26]</sup> Furthermore, it is tempting to speculate that by using individualized dose adaptation, a significant reduction in bleeding complications, including DAH, can be expected, especially in the initial drug-saturation phase.<sup>[4]</sup>

**Table IV.** Coadministered medication influencing coagulation in patients in which this was relevant (n=39)

Administered co-medication	No. of patients
Amiodarone	15
Amitriptyline	1
Aspirin	5
Atorvastatine	7
Carvedilol	5
Clopidogrel	2
Colchicine	1
Esomeprazol	1
Felodipine	1
Fluoxetine	1
Insulin	2
Isoniazid	1
Levothyroxine	1
Nifedipine	1
Omeprazole	5
Pantoprazole	15
Paroxetine	4
Prednisone	8
Ranitidine	1
Rifampin	1
Simvastatin	2
Trimethoprim/sulfamethoxazole	2
Valproic acid	2
Verapamil	2

## Conclusion

In all but one of the studied patients with DAH treated with coumarins, an association with either a *VKORC1* or a *CYP2C9* variant allele, or both, was found. Early and timely use of appropriate genotyping is important in the case of coumarin treatment, because of the potentially fatal outcome of over-anticoagulation and the fact that simple vitamin K supplementation can be life saving. Therefore, in concordance with the FDA, genotyping of only four SNPs for *VKORC1* and *CYP2C9* allelic variants is recommended in order to provide safer and more individualized anticoagulant therapy.

## Acknowledgments

The authors would like to thank Henk H. Thijssen, PhD, and Karly Hamulyák, MD, PhD, for their expert advice, and Petra Pischedda-Hendrix for her contribution in performing the *CYP450* and *VKORC1* analyses.

The authors have no conflicts of interest to report. No sources of funding were used to assist in the preparation of this study.

## References

- Sharma P, Bentley P. Of rats and men: superwarfarin toxicity. *Lancet* 2005 Feb 12-18; 365 (9459): 552-3
- Kearon C, Ginsberg JS, Kovacs MJ, et al. Comparison of low-intensity warfarin therapy with conventional-intensity warfarin therapy for long-term prevention of recurrent venous thromboembolism. *N Engl J Med* 2003 Aug 14; 349 (7): 631-9

3. Erdogan D, Kocaman O, Oflaz H, et al. Alveolar hemorrhage associated with warfarin therapy: a case report and literature review. *Int J Cardiovasc Imaging* 2004 Apr; 20 (2): 155-9
4. Rieder MJ, Reiner AP, Gage BF, et al. Effect of VKORC1 haplotypes on transcriptional regulation and warfarin dose. *N Engl J Med* 2005 Jun 2; 352 (22): 2285-93
5. De Lassence A, Fleury-Feith J, Escudier E, et al. Alveolar hemorrhage: diagnostic criteria and results in 194 immunocompromised hosts. *Am J Respir Crit Care Med* 1995 Jan; 151 (1): 157-63
6. Laposata M, Van Cott EM, Lev MH. Case records of the Massachusetts General Hospital: case 1-2007. A 40-year-old woman with epistaxis, hematemesis, and altered mental status. *N Engl J Med* 2007 Jan 11; 356 (2): 174-82
7. Krynetskiy E, McDonnell P. Building individualized medicine: prevention of adverse reactions to warfarin therapy. *J Pharmacol Exp Ther* 2007 Aug; 322 (2): 427-34
8. Higashi MK, Veenstra DL, Kondo LM, et al. Association between CYP2C9 genetic variants and anticoagulation-related outcomes during warfarin therapy. *JAMA* 2002 Apr 3; 287 (13): 1690-8
9. Thijssen HH, Flinois JP, Beaune PH. Cytochrome P4502C9 is the principal catalyst of racemic acenocoumarol hydroxylation reactions in human liver microsomes. *Drug Metab Dispos* 2000 Nov; 28 (11): 1284-90
10. Linssen KC, Jacobs JA, Poletti VE, et al. Reactive type II pneumocytes in bronchoalveolar lavage fluid. *Acta Cytol* 2004 Jul-Aug; 48 (4): 497-504
11. Geisen C, Watzka M, Sittinger K, et al. VKORC1 haplotypes and their impact on the inter-individual and inter-ethnic variability of oral anticoagulation. *Thromb Haemost* 2005 Oct; 94 (4): 773-9
12. Tamminga WJ, Wemer J, Oosterhuis B, et al. The prevalence of CYP2D6 and CYP2C19 genotypes in a population of healthy Dutch volunteers. *Eur J Clin Pharmacol* 2001 Dec; 57 (10): 717-22
13. Barnett VT, Bergmann F, Humphrey H, et al. Diffuse alveolar hemorrhage secondary to superwarfarin ingestion. *Chest* 1992 Oct; 102 (4): 1301-2
14. Drent M, Wessels S, Jacobs JA, et al. Association of diffuse alveolar haemorrhage with acquired vitamin K deficiency. *Respiration* 2000; 67 (6): 697
15. Rahman I, Skwarska E, Henry M, et al. Systemic and pulmonary oxidative stress in idiopathic pulmonary fibrosis. *Free Radic Biol Med* 1999 Jul; 27 (1-2): 60-8
16. Schwarz UI, Ritchie MD, Bradford Y, et al. Genetic determinants of response to warfarin during initial anticoagulation. *N Engl J Med* 2008 Mar 6; 358 (10): 999-1008
17. Ansell J, Hirsh J, Hylek E, et al. Pharmacology and management of the vitamin K antagonists: American College of Chest Physicians evidence-based clinical practice guidelines (8th edition). *Chest* 2008 Jun; 133 (6 Suppl.): 160-98S
18. Sconce E, Avery P, Wynne H, et al. Vitamin K supplementation can improve stability of anticoagulation for patients with unexplained variability in response to warfarin. *Blood* 2007 Mar 15; 109 (6): 2419-23
19. Oldenburg J, Bevens CG, Fregin A, et al. Current pharmacogenetic developments in oral anticoagulation therapy: the influence of variant VKORC1 and CYP2C9 alleles. *Thromb Haemost* 2007 Sep; 98 (3): 570-8
20. Wijnen PA, Drent M, Nelemans PJ, et al. Role of cytochrome p450 polymorphisms in the development of pulmonary drug toxicity: a case-control study in the Netherlands. *Drug Saf* 2008; 31 (12): 1125-34
21. Voora D, Eby C, Linder MW, et al. Prospective dosing of warfarin based on cytochrome P-450 2C9 genotype. *Thromb Haemost* 2005 Apr; 93 (4): 700-5
22. Sconce EA, Khan TI, Wynne HA, et al. The impact of CYP2C9 and VKORC1 genetic polymorphism and patient characteristics upon warfarin dose requirements: proposal for a new dosing regimen. *Blood* 2005 Oct 1; 106 (7): 2329-33
23. Cooper GM, Johnson JA, Langae TY, et al. A genome-wide scan for common genetic variants with a large influence on warfarin maintenance dose. *Blood* 2008 Aug 15; 112 (4): 1022-7
24. Wang D, Chen H, Momary KM, et al. Regulatory polymorphism in vitamin K epoxide reductase complex subunit 1 (VKORC1) affects gene expression and warfarin dose requirement. *Blood* 2008 Aug 15; 112 (4): 1013-21
25. Bodin L, Verstuyft C, Tregouet DA, et al. Cytochrome P450 2C9 (CYP2C9) and vitamin K epoxide reductase (VKORC1) genotypes as determinants of acenocoumarol sensitivity. *Blood* 2005 Jul 1; 106 (1): 135-40
26. Gage BF, Lesko LJ. Pharmacogenetics of warfarin: regulatory, scientific, and clinical issues. *J Thromb Thrombolysis* 2008 Feb; 25 (1): 45-51

---

Correspondence: Prof. Dr. M. Drent, Department of Respiratory Medicine, ild care center, Maastricht University Medical Centre, P.O. Box 5800, 6202 AZ Maastricht, the Netherlands.  
E-mail: m.drent@mumc.nl